

ROP: The Annual Facility Assessment



PART I - DESIGN

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OUTLINE

- The Requirements
 - Key Elements
- The Design Process
- Demonstration related to design and deficient practices

F838 §483.70(e) Facility Assessment

The facility must conduct and document a facility-wide assessment to determine what resources are necessary to care for its residents competently during both day-to-day operations and emergencies. The facility must review and update that assessment, as necessary, and at least annually. The facility must also review and update this assessment whenever there is, or the facility plans for, any change that would require substantial modification to any part of this assessment. This assessment must include:

F838 §483.70(e)(1)

Key Elements – Resident Population

- The number of residents & capacity
- The care required
 - Disease Types
 - Physical & Cognitive
 - Overall Acuity
 - Other pertinent facts

F838 §483.70(e)(1)

Key Elements – Resident Population

- Staff Competencies (based on resident population)
- The physical environment, equipment, services & other physical plan considerations necessary for care
- Any ethnic, cultural, or religious factors
 - Affecting care and services
 - Food and nutrition
 - Activities

F838 §483.70(e)(2)

Key Elements – Facility Resources

- Include all buildings and/or other physical structures and vehicles
- Equipment both medical and non-medical
- All personnel (managers, staff volunteers & those under contract)
 - Education
 - Training
 - Competencies
- Contracts
- Health Information

F838 §483.70(e)(3)

Key Elements – Facility Resources

- Facility-based and community-based risk assessment, utilizing an all-hazards approach

TRY NOT TO FREAK OUT!!



YOU'VE
GOT
THIS!



ASSESSMENT DEFINED



Baldrige is an approach to consider

- Organizational Profile
- Strategy
- Customers
- Workforce
- Operations
- Measurement, Analysis, and Knowledge Management
- Results

Define Outcomes

The intent of this requirement is for the facility to evaluate its resident population and identify the resources needed to provide the necessary care and services the residents require.

Some other questions...

- Who are the residents we care for:
 - Ethnically and culturally
 - Physically, cognitively and clinically
- What are their needs
 - Disease types and other clinical needs
- Who are the staff that care for them
 - Educationally, ethnically and culturally
- What are their needs
 - Competencies and training needs

Some additional questions...

- What are our key systems and processes?
- What are our major facilities?
- What are our major technologies and equipment (medical and non-medical)?
 - How do we share and protect our data?
- Who are our contracted stakeholders?
 - Are the contracts up to date
 - What are their needs
 - Do they know our needs
 - Do we need additional contracts
- What disasters could occur and how will we prepare?

Make a Plan

- How will you obtain the data?
 - MDS
 - Facility characteristics
 - Acuity reports
 - Resident, family, employee surveys
 - Will some data (like acuity) be reviewed more frequently?
- Who will obtain the data? Individuals involved?
- What will the format look like? How will it be collected and documented?
 - Websites (AHCA)
 - Excel, word, or other
- How will the data be analyzed?

Make a Plan

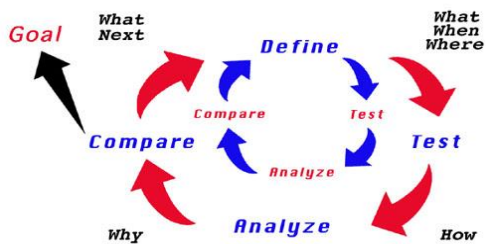
- How will it be reported?
- How will it be reviewed and updated?
- How will it be incorporated into our
 - Staffing,
 - Staff training & competency testing program (F940-943 & F947);
 - QAPI (F944);
 - Fire and Life Safety?
 - Compliance and Ethics? (F946)
 - Overall strategic plan?

Implement your plan

More on this in Part II!

Perform an Analysis

Problem Solving Process



Some things to consider...

- What have we learned?
- What do we need?
- Where are our strengths?
- What are our weaknesses?
- What are our opportunities for improvements?
- What are our risks?

DETERMINE AN ACTION PLAN

**WHAT WILL WE
DO
ABOUT IT?**

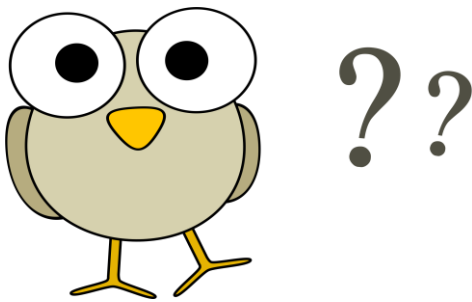
The Survey Investigative Protocol

- If systemic care concerns – review the facility’s assessment process
- Determine if current care concerns were considered as part of the facility assessment
- How did the facility assess the resident population? Does it reflect current population?
- How was acuity determined?

The Survey Investigative Protocol

- How were staffing levels determined?
- How were skills determined and what training and competencies were required / performed?
- Who was involved in the assessment?
- How was equipment, supplies and physical environment needs determined?
- How was the emergency plan developed?
- If deficient practice is systemic and observed in other tags are they related to incomplete facility assessment?

Questions / Comments





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